

## U.S. PERSON STATUS FORM

**DETERMINING YOUR STATUS AS A U.S. PERSON OR NON-U.S. PERSON**

Question 1 Are you or were you ever a U.S. citizen? (Including dual nationality)  
Yes  No

Question 2 Were you born in the United States or a U.S. territory?  
Yes  No   
(U.S. territories: American Samoa, Federated States of Micronesia,  
Guam, Midway Islands, Northern Mariana Islands, Puerto Rico,  
Republic of Palau, U.S. Virgin Islands)

Question 3 Are you or were you ever a lawful permanent resident of the U.S. (i.e., a green card holder)?  
Yes  No

If you answered yes to any of the questions above, but are no longer a U.S. citizen or lawful permanent resident, please provide us with: (i) an explanation regarding your renunciation of U.S. citizenship or the reason you did not obtain U.S. citizenship at birth; (ii) a copy of your Non-U.S. Passport; (iii) a valid and completed **Form W-8BEN** (Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)); (iv) a copy of your (A) Certificate of Loss of Nationality of the United States (if formerly a citizen), **or** (B) Form I-407 (Abandonment of Lawful Permanent Residence Status) which was filed with a U.S. Embassy (if formerly a lawful permanent resident); **and** (v) complete a **Non U.S. Person Declaration**.

If you answered "yes" to any of the questions above and you are a U.S. citizen or lawful permanent resident, please **provide a valid and completed Form W-9, Request for Taxpayer Identification Number and Certification**.

In order to determine if you are a resident alien of the United States, please answer the following questions:

Question 4 Do you have a temporary or permanent place of residence in the United States?  
Yes  No

**If you answered yes to Question 4 and you are not a US Person, please complete Non US Person Letter of Explanation of US Address.**

Question 5 Have you been issued a U.S. visa which has not yet expired?  
Yes  No

If so, please list the type of visa, the date of issuance and the date of expiration:

Type of visa: \_\_\_\_\_

Date of issuance: \_\_\_\_\_

Date of expiration \_\_\_\_\_

**Also, please provide us with a copy of the visa for the Bank's records.**

Question 6 During calendar years 2011, 2012 and/or 2013, did you spend any time within the United States? If so, please provide the number of days of presence for each year below, and calculate the number of

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days of presence under the so-called "Substantial Presence Test" (do not round fractions to the nearest whole number.)

2013: \_\_\_\_\_ Multiplied by 1 = \_\_\_\_\_ = (A)  
 Number of Days in the U.S.

2012: \_\_\_\_\_ Multiplied by 1/3 = \_\_\_\_\_ = (B)  
 Number of Days in the U.S.

2011: \_\_\_\_\_ Multiplied by 1/6 = \_\_\_\_\_ = (C)  
 Number of Days in the U.S.

Total Number of Days Under the Substantial Presence Test = (A) + (B) + (C)

Total Number of Days Under the Substantial Presence Test = \_\_\_\_\_ + \_\_\_\_\_ + \_\_\_\_\_

Total Number of Days Under the Substantial Presence Test = \_\_\_\_\_

Question 7 Under the Substantial Presence Test calculation (above), were you present in the United States for at least 183 days?

Yes  No

Question 8 If you answered yes to Question 7, then please indicate whether you ever filed IRS Form 8840 (Closer Connection Statement) or IRS Form 8833 (Treaty-Based Return Position Disclosure)?

Form 8840 Yes  No   
 Form 8833 Yes  No

**If you ever filed Form 8840 or Form 8833, please provide us with a copy of the applicable Form as filed with the IRS for our records.**

Question 9 Are you tax resident in the United States for any other reason?

Yes  No

(e.g. joint tax declaration as a married couple) If yes, please provide another explanation of your status as a tax resident in the United States:

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**IF YOU HAVE ANSWERED "NO" TO QUESTIONS 1 THROUGH 7 AND "NO" TO QUESTION 9 AND YOU ARE NOT A U.S. PERSON, THEN PLEASE COMPLETE A NON U.S. PERSON DECLARATION AND PROVIDE A VALID AND COMPLETED FORM W-8BEN.**

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**OTHERWISE, DEPENDING UPON THE ANSWERS YOU PROVIDED IN THIS FORM, YOU MAY BE A U.S. PERSON FOR U.S. TAX PURPOSES. IF YOU ARE UNCERTAIN WHETHER YOU ARE A U.S. PERSON, OR BELIEVE YOU ARE NOT A U.S. PERSON, PLEASE CONTACT YOUR TAX ADVISOR IN ORDER TO DISCUSS. IF YOU ARE A U.S. PERSON, PROVIDE A VALID AND COMPLETED FORM W-9.**

*Please note that Republic Bank (Barbados) Limited, its staff, agents, officers cannot provide any U.S. tax or other advice as to whether Customer is or is not a U.S. person based on the responses provided in this Bank FATCA Form. Please note that Customer is solely responsible for providing accurate information to Republic Bank in the Form and certifying in the relevant U.S. tax form, Customer's U.S. or Non-U.S. person status for U.S. tax purposes. Customer is liable under U.S. law for providing an inaccurate U.S. tax form.*

*Republic Bank (Barbados) Limited however will verify the information provided in the Bank FATCA forms and U.S. tax forms against Customer's documentary information provided to Republic Bank as part of its usual **Know Your Customer/Anti Money laundering customer due diligence process**. If the information in the Bank's FATCA forms and U.S. tax form are not supported by information held by or within the knowledge of Republic Bank, Customer will be asked to re-submit accurately completed U.S. tax forms.*